

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): J. Richard Hanna; Randall W. Jenkins; Mark A. DePietro; Alan J. Rozlosnik; Robert V. Artino; Steven R. Davis

For (title): **AUTOMATED MERCHANT BANKING APPARATUS AND METHOD**

1. **Type of Application**

This transmittal is for an original (nonprovisional) application.

2. **Papers Enclosed**

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

**CERTIFICATION UNDER 37 C.F.R. 1.10\***

(Express Mail label number is **mandatory**.)

(Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date 9/30/99, in an envelope as "Express Mail Post Office to Addressee," mailing Label Number EL222130745US, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Ralph E. Jocke

(type or print name of person mailing paper)

Signature of person mailing paper

**WARNING:** Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

69 Page(s) of Specification  
6 Page(s) of Claims  
55 Sheet(s) of Drawing(s)

**B. Other Papers Enclosed**

4 Page(s) of declaration and power of attorney  
1 Page(s) of abstract

**3. Declaration or Oath**

Enclosed

Executed by:

● inventors.

**4. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**5. Language**

English

**6. Assignment**

An assignment of the invention to Diebold, Incorporated is attached. A separate FORM PTO 1595 is also attached.

**9. Method of Payment of Fees**

Charge Account No. 09-0428 (InterBold) in the amount of \$898.00

A duplicate of this transmittal is attached.

**10. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 09-0428.

37 C.F.R. 1.16(a), (f) or (g) (filing fees)

37 C.F.R. 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

37 C.F.R. 1.17 (application processing fee)

**11. Instructions as to Overpayment**

Credit Account No. 09-0428 (InterBold).

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATIONS CLAIMED**

**12. Relate Back**

Amend the specification by inserting<sup>✓</sup>, before the first line, the following sentence:

**A. 35 U.S.C. § 119(e)**

[ This application claims the benefit of U.S. Provisional Application Nos.:

**APPLICATION NO.**

**FILING DATE**

60/109,941

11/25/1998

60/135,720

05/25/1999

7. **Fee Calculation (37 C.F.R. 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$760.00
Total Claims (37 CFR 1.16(c))	21	- 20 =	1 x	\$18.00	\$18.00
Independent Claims (37 CFR 1.16(b))	1	- 3 =	0 x	\$78.00	\$0.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$260.00	

Filing Fee Calculation

\$778.00

Regular Filing  
Fee Calculation

8. **Fee Payment Being Made at This Time**

Enclosed

Filing Fee

\$778.00

Basic Filing Fee Number

Recording assignment  
(\$40; 37 C.F.R. 1.21(h))  
(See attached "COVER SHEET FOR  
ASSIGNMENT ACCOMPANYING NEW  
APPLICATION".)

\$120.00

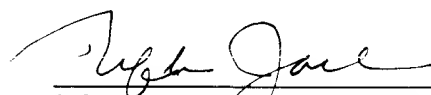
**Total Fees Enclosed**

**\$898.00**

13. Maintenance of Copendency of Prior Application

14. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

- a. The inventorship for all the claims in this application is the same.

  
SIGNATURE OF PRACTITIONER

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